



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
ANGEL et al.) Group Art Unit: 1711
Serial No. 09/964,796) Examiner: Unknown
Filed: September 28, 2001)

For: WATER-SOLUBLE OR WATER-DISPERSIBLE (CO) POLYMERS OF
HYDROXYALKYL (METH) ACRYLATES, A PROCESS FOR THEIR
PREPARATION, AND THEIR USE AS COATING AGENT, BINDER AND/OR
FILM-FORMING EXCIPIENT IN PHARMACEUTICAL DOSAGE FORMS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on: October 30, 2001

Date of Deposit

Monica K. Sims

Person Making Deposit

Signature

Monica Sims

Date of Signature

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This subject Information Disclosure Statement is submitted in connection with applicants' continuing duty of disclosure under 37 CFR 1.56.

Listing of Relevant Documents

The relevant documents are listed in the attached Form PTO-1449.

Remarks

The listed reference are discussed on pages 3 and 4 of the instant specification.

U.S. 4,397,986 is the English equivalent of DE 31 11 602, which is discussed on page 3 of the instant specification.

U.S. 6,107,397 is the English equivalent of DE 197 12 247, which is discussed on page 4 of the instant specification.

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DE 196 29 948 is not available in English, however a concise explanation of the relevance of the reference is discussed on page 3 of the instant specification.

Respectfully submitted,
KEIL & WEINKAUF


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